Birational geometry of Moduli space of curves

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Objects in algebraic geometry

Affine algebraic variety

 $V(f_1, f_2, \dots, f_s) = \{x = (x_1, \dots, x_n) \in \mathbb{C}^n \mid f_1(x) = f_2(x) = \dots = f_s(x) = 0\}$

 $f_i(x) \in \mathbb{C}[x_1, \dots, x_n]$

Example

$$f(x, y, z) = (y - \sqrt{3})^2 - (x - 1)(x - 2)(x - 3)$$
$$D = V(f, z - 1) \cup V(x - (z - 1)^3, y - (z - 1)^2)$$
$$C = V(y^2 - x^3, z) \subset \mathbb{C}^3$$



Objects in algebraic geometry

Projective algebraic variety

$$\begin{split} \mathbb{P}^{n} &= (\mathbb{C}^{n+1} - \{0\}) / \mathbb{C}^{*} \\ V(f_{1}, f_{2}, \dots, f_{s}) &= \{x = (x_{0}, \dots, x_{n}) \in \mathbb{P}^{n} \mid f_{1}(x) = \dots = f_{s}(x) = 0\} \\ f_{i}(x) \in \mathbb{C}[x_{0}, \dots, x_{n}] \quad \text{(homogeneous)} \end{split}$$

► Example

$$p = (1,0,0) \in \mathbb{P}^2$$
$$Bl_p \mathbb{P}^2 = \{(x, y, z; s, t) \in \mathbb{P}^2 \times \mathbb{P}^1 \mid yt = zs\}$$



Source: D. Arapura's website

Moduli theory

A *moduli space* (of curves, of surfaces, of vector bundles over a curve etc) is

- the set of isomorphism classes (equipped with a structure of algebraic variety)
- and certain universal properties
- The term *moduli* was first used by B. Riemann (1826-1866).



Distance preserving map

Plane isometries

Plane isometries ↔ (translation)∘(orthogonal transformation)

• f(z) = az + b ($a \in S^1, b \in \mathbb{C}$) is a rotation unless a = 1.



around $b(1-a)^{-1}$

• $f(z) = a\overline{z} + b$ ($a \in S^1, b \in \mathbb{C}$) is a glide unless $b + a\overline{b} = 0$.



Moduli of plane isometries



Moduli of plane cubics

Plane cubics (elliptic curves)

$$\{(x, y, z) \in \mathbb{P}^2 | F(x, y, z) = \sum_{i+j+k=3} a_{ijk} x^i y^j z^k = 0\}$$

$$y^{2}z - x^{2}(x - z) = 0$$

$$x^{0}y^{2}z^{1} - x^{3}y^{0}z^{0} + x^{2}y^{0}z^{1} = 0$$

$$(-1, 0, 1, 0, 0, 0, 0, 1, 0, 0)$$

 $(a_{300}, a_{210}, a_{201}, \dots, a_{021}, a_{012}, a_{003}) \in \mathbb{P}^9$

{plane cubics}/(coordinate change) = $\mathbb{P}^9/SL_3(\mathbb{C})$

Equals Moduli space = (parameter space)/(group action) isomorphism

Construction of quotient in algebraic geometry is NOT automatic!

Bad degeneration of elliptic curves

Isomorphic
when
$$t \neq 0$$

$$C_t: y^2 z = x(x - t^2 z)(x - 2t^3 z) \xrightarrow{w}_{t \to 0} y^2 z = x^3$$

$$x' = t^2 x \stackrel{k}{\xi} y' = t^3 y$$

$$C'_t: y'^2 z = x'(x' - z)(x' - 2tz) \xrightarrow{w}_{t \to 0} y'^2 z = x'^2(x' - z)$$

 $[C_t] = [C'_t]$ in a moduli space

For $\{[C_t] = [C'_t]\}_{t\neq 0}$ to have a unique limit, we need to keep one and discard the other.

Which curve should we pick? (GIT)

Need a reliable system to pick the correct limits.

Mumford's Geometric Invariant Theory (GIT)

To understand a quotient space

Question. What are the (regular) functions on the quotient space? on G-orbits $X \rightarrow X/G$ π^*h $\downarrow h$ \mathbb{C} Regular function h on X/G \leftrightarrow G-invariant function $\pi^*h \text{ on } X$

Ring of invariants

Ring of regular \leftarrow functions on X/G

Subring
$$\mathbb{C}[X]^G \subset \mathbb{C}[X]$$
 of
G-invariant regular
functions on *X*

Theorem (Hilbert-Weyl-Haboush) If *G* is reductive, the ring of invariants is finitely generated.

$$\{\sigma_0, \dots, \sigma_s\}:$$
generators of $\mathbb{C}[X]^G$

$$\longrightarrow X/G = \begin{bmatrix} (\text{image of } \phi) \subset \mathbb{P}^s \\ \phi(x) = (\sigma_0(x), \dots, \sigma_s(x)) \end{bmatrix}$$

If $\sigma_0, \dots, \sigma_s$ all vanish at x, then ϕ is NOT defined at x!

Back to moduli of elliptic curves

space of cubic polynomials = $\mathbb{C}[a_{300}, a_{210}, \dots, a_{003}]$

$$\mathbb{C}[a_{ijk}]^{SL_3(\mathbb{C})} = \mathbb{C}[S,T], \ \deg(S) = 4, \ \deg(T) = 6 \ (Aronhold, \ 1850)$$

$$J = \frac{16S^3}{T^2 + 64S^3}$$
 (characteristic $\neq 2,3$)

S = T = 0for $y^2 z - x^3 = 0$ $y^2z - x^3 = 0$ is NOT defined in \mathbb{P}^1 with homogeneous coordinates S,T

Back to moduli of elliptic curves

$$\begin{split} S &= abcm - (bca_2a_3 + cab_1b_3 + abc_1c_2) - m(ab_3c_2 + bc_1a_3 + ca_2b_1) \\ &- m^4 + 2m^2(b_1c_1 + c_2a_2 + a_3b_3) - 3m(a_2b_3c_1 + a_3b_1c_2) \\ &+ (ab_1c_2^2 + ac_1b_3^2 + ba_2c_1^2 + bc_2a_3^2 + cb_3a_2^2 + ca_3b_1^2) \\ &- (b_1^2c_1^2 + c_2^2a_2^2 + a_3^2b_3^2) + (c_2a_2a_3b_3 + a_3b_3b_1c_1 + b_1c_1c_2a_2), \end{split} \\ T &= a^2b^2c^2 - 6abc(ab_3c_2 + bc_1a_3 + ca_2b_1) + 12abcm(b_1c_1 + c_2a_2 + a_3b_3) \\ &+ 36m^2(bca_2a_3 + cab_1b_3 + abc_1c_2) - 3(a^2b_3^2a_3^2 + b^2c_1a_3^2 + c^2a_2^2b_1^2) \\ &+ 4(a^2bc_2^3 + a^2cb_3^2 + a^2cb_3^3 + b^3ca_3^3 + b^2ac_1^3 + c^2ab_1^3 + c^2ba_2^3) \\ &- 24m(bcb_1a_3^2 + bcc_1a_2^2 + cac_2b_1^3 + caa_2b_2^2 + aba_3c_2^2 + abb_3c_1^2) \\ &- 12(bcc_2a_3a_2^2 + bcb_3a_2a_3^2 + cac_1b_3b_1^2 + caa_3b_1b_3^2 + abb_1c_2c_1^2) \\ &+ 6abca_3b_1c_2 + 12m^2(ab_1c_2^2 + ac_1b_3^2 + ba_2c_1^2 + bc_2a_3^2 + cb_3a_2^2 + ca_3b_1^2) \\ &- 20abcm^3 - 60m(ab_1b_3c_1c_2 + bc_1c_2a_2a_3 + ca_2a_3b_1b_3) \\ &+ 12m(aa_2b_3c_2^2 + aa_3c_2b_3^2 + bb_3c_1a_3^2 + bb_1a_3c_1^2 + cc_1a_2b_1^2 + cc_2b_1a_2^2) \\ &+ 6(ab_3c_2 + bc_1a_3 + ca_2b_1)(a_2b_3c_1 + a_3b_1c_2) - 6b_1c_1c_2a_2a_3b_3 \\ &+ 24(ab_1b_3^2c_1^2 + ac_1c_2^2b_1^2 + bc_2c_1^2a_2^2 + ba_2a_3^2c_2^2 + ca_3a_2^2b_3^2 + cb_3a_2^2) \\ &- 12(aa_2b_1c_2^3 + aa_3c_1b_3^3 + bb_3c_2a_3^3 + bb_1a_2c_1^3 + cc_1a_3b_1^3 + cc_2b_3a_3^3) \\ &- 12(aa_2b_1c_2^3 + aa_3c_1b_3^3 + bb_3c_2a_3^3 + bb_1a_2c_1^3 + cc_1a_3b_1^3 + cc_2b_3a_3^2) \\ &- 8m^6 + 24m^4(b_1c_1 + c_2a_2 + a_3b_3) - 36m^3(a_2b_3c_1 + a_3b_1c_2) \\ &+ 36m(a_2b_3c_1 + a_3b_1c_2)(b_1c_1 + c_2a_2 + a_3b_3) + 8(b_1^2c_1^3 + c_2^2a_2^2 + a_3^2b_3^2) \\ &- 12(b_1^2c_1c_2a_2 + b_1^2c_1^2a_3b_3 + c_2^2a_2^2a_3b_3 + c_2^2a_2^2b_1c_1 + a_3^2b_3^2b_1c_1 + a_3^2b_3^2) \\ &- 12m^2(b_1c_1c_2a_2 + c_2a_2a_3b_3 + a_3b_3b_1c_1) - 24m^2(b_1^2c_1^2 + c_2^2a_2^2 + a_3^2b_3^2) \\ &+ 18(bcb_1c_1a_2a_3 + cac_2a_2b_3b_1 + aba_3b_3c_1c_2) - 27(a_2^2b_3^3c_1^2 + a_3^2b_1^2c_2^2) \\ &+ 6abca_2b_2c_1 - 12m^3(ab_3c_2 + bc_1a_3 + ca_2b_1). \end{split}$$

Here we use the following dictionary between our notation of coefficients and Salmon's:

$$(a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8, a_9, a_{10}) = (a, 3a_2, 3a_3, 3b_1, 6m, 3c_1, b, 3b_3, 3c_2, c).$$

Bad degeneration of elliptic curves

$$S = T = 0$$
Isomorphic
when $t \neq 0$

$$C_t: y^2 z = x(x - t^2 z)(x - 2t^3 z)$$

$$x' = t^2 x \oiint y' = t^3 y$$

$$C'_t: y'^2 z = x'(x' - z)(x' - 2tz)$$

$$x'' = t^2 x \oiint y' = t^3 y$$

$$y'^2 z = x'^2 (x' - z)$$

$$y'^2 z = x'^2 (x' - z)$$

$$y'^2 z = x'^2 (x' - z)$$

$$S = -\frac{1}{81}$$

Construction of quotients (GIT)

- X = projective variety
- $G = algebraic group \sim X$
- $> X^{ss}$ = open locus of **semistable points** at which an invariant function does not vanish
 - Theorem (Mumford) *There exists a projective quotient*

$$\begin{array}{ccc} X^{ss} \longrightarrow X^{ss}/G & & \\ U & U & \\ U & & \\ U & & \\ U & & \\ \end{array} \begin{array}{ccc} \text{Usually denoted} \\ & & \\ \text{by } X//G \end{array}$$

Recall: Hypersurface case (single equation)

Plane cubics (elliptic curves)

$$\{(x, y, z) \in \mathbb{P}^2 | F(x, y, z) = \sum_{i+j+k=3} a_{ijk} x^i y^j z^k = 0\}$$

$$(a_{300}, a_{210}, a_{201}, \dots, a_{021}, a_{012}, a_{003}) \in \mathbb{P}^9$$

$$y^{2}z - x^{2}(x - z) = 0$$

$$x^{0}y^{2}z^{1} - x^{3}y^{0}z^{0} + x^{2}y^{0}z^{1} = 0$$

$$(-1,0,1,0,0,0,0,1,0,0)$$

Construction of moduli of curves

Need multiple equations to define a curve $C \subset \mathbb{P}^n$



Construction of moduli of curves

Use a "canonical embedding" —

Uniform number *s* and degree *m* of the defining equations

 \triangleright C = nonsingular projective curve of genus g = dim H⁰(ω_C)

$$\omega_c$$
 = sheaf of holomorphic 1-forms

 $C \hookrightarrow \mathbb{P}\left(H^0(\omega_C^{\otimes \nu})\right) \cong \mathbb{P}^{(2\nu-1)(g-1)-1} \text{ is cut out by } s \text{ degree } m \text{ equations!}$

Hilbert points



 $\blacktriangleright SL_{n+1}(\mathbb{C}) \frown H_{\nu,m} = \{ [C]_m \mid C \text{ nonsingular genus } g \} \subset Gr(s, \mathbb{C}[x_0, \dots, x_n]_m)$

 $H_{\nu,m}//SL_{n+1}(\mathbb{C}) =$ moduli space of curves of genus g

Moduli of curves



Problem *Describe the moduli spaces associated to various* (*v*,*m*).

Deligne-Mumford stable curves

A complete connected curve (of genus $g \ge 2$) is Deligne-Mumford stable if

- it has only nodes as singularity, and;
- it has only finite automorphisms.

A smooth rational component meets the rest of the curve in ≥ 3 points

$$(\nu,m) = (\geq 5, \gg 0)$$

"Mumford and I realized in 1974 that." (Gieseker's Tata lecture notes)



Theorem (Mumford, Gieseker 1974~80) $H_{\nu,m}//SL_{n+1}(\mathbb{C}) \simeq$ Moduli space $\overline{M_g}$ of Deligne-Mumford stable curves.

Deligne-Mumford stable curves



Unstable curves (infinite automorphisms)



Pseudostable curves

A complete connected curve is *pseudostable* if it has nodes and <u>cusps</u> as singularities, has finite automorphisms, but no <u>elliptic tails</u>.





 $(\nu = 4; m \gg 0)$

Theorem (Schubert 1991, Morrison-Hyeon 2010) $H_{\nu,m}//SL_{n+1}(\mathbb{C}) \simeq$ Moduli space $\overline{M_g}^{ps}$ of *pseudostable* curves.

 $\overline{M_g}$ VS $\overline{M_a}^{ps}$



Problem More generally: Construct the moduli spaces associated to various (v,m) and describe the relations between them.

Issues

- In the two "old" moduli spaces, nonisomorphic curves are separated, which is not the case in general due to much more complicated orbit structures.
- No motivation to solve the issue!



Classification of varieties

X and Y are **birational** to each other if there exist open subvarieties

 $X \supset U \simeq V \subset Y$

▶ e.g. $f: X \to Y$ (a resolution of singularities), blow up



Classification of varieties

Classification up to isomorphism ----- Moduli theory

- How many varieties (of a given type) are there? : dimension of the moduli
- Can a given variety (algebraically) deformed to another? : connectedness

Classification up to birational Annual Model Program

• Minimal Model Program : A procedure to find a *canonical representative* in each birational equivalence class

Minimal Model Program

- (Dimension = 1) There is a unique nonsingular projective curve X birational to any given curve.
- (Dimension = 2) There are many nonsingular projective surfaces that are birational to each other.
 - E.g. A blow up of X (with a nonsingular center) is nonsingular if X is nonsingular.

 $\blacktriangleright Bl_p X \simeq \overline{X} \# S^2$ (X is topologically simpler than its blow up!)

Start with X and blow down (until we can't).

Minimal Model Program (dimension= 2)



Minimal Model Program (dimension= 2)





Log MMP for the moduli of curves

► $K_{\overline{M_g}}$ contracts too many divisors! \longrightarrow Use $K_{\overline{M_g}} + \alpha \delta$ instead $\alpha \in [0,1] \cap \mathbb{Q}$

$$\delta = \delta_0 + \delta_1 + \dots + \delta_{\lfloor g/2 \rfloor}$$

$$\delta_0 = \overline{\left\{ \begin{array}{c} \swarrow \\ 0 \end{array} \right\}} \qquad \delta_i = \overline{\left\{ \begin{array}{c} \swarrow \\ g-i \end{array} \right\}} \qquad i = 1, 2, \dots, \lfloor g/2 \rfloor$$

by

 $K_{\overline{M_a}}$ nef?

 $K_{\overline{M_g}} + \alpha \delta$

nef?

Replace

Log MMP for the moduli of curves

Hassett-Keel program

Hassett-Keel program: Run the log MMP guided by $K_{\overline{M_{\alpha}}} + \alpha \delta$ as Dimension we decrease α from 1 to 0. Vary the *j* one locus invariant in $\overline{M_a}$ $\exists T: M_g \to Y$ $(K_{\overline{M_g}} + \alpha \delta).$ extremal contraction precisely when $\alpha < 9/11$ Hassett-Hyeon 2009 MMP w.r.t $\rightarrow T: \overline{M_a} \rightarrow \overline{M_a}^{ps}$ M_{a} 9

Hassett-Keel program

Hassett-Keel program

H-semistable curves

Theorem (Hassett, Lee and Hyeon) ($\nu = 2$; $m \gg 0$) (a) $H_{\nu,m}//SL_{n+1}(\mathbb{C}) \simeq$ Moduli space $\overline{M_a}^{hs}$ of *h-semistable* curves; **(b)** $\overline{M_a}^{hs} \simeq (\overline{M_g}^{ps})^+$ (the Mori flip) (c) $\overline{M_g}^{hs} \simeq \overline{M_g}(\alpha)$ for $\alpha \in \left(\frac{7}{10} - \epsilon, \frac{7}{10}\right)$.

- A complete connected curve *C* is *h*-semistable if
 - it has nodes, cusps and tacnodes as singularities;
 - a smooth rational component of it meets the rest of the curves in ≥ 3 points counting mutiplicity;
 - an elliptic component of it meets the rest of the curves in ≥ 2 points NOT counting mutiplicity;
 - it has no <u>tacnodal elliptic chains</u>...... •

Log MMP for the moduli of curves(2008~13)

Alper-Fedorchuk-Smyth-van der Wyck (2013)

We are inching toward the canonical model $\overline{M_g}(0)$!

Hassett-Keel Program

As α gets smaller, $\overline{M_g}(\alpha)$ is expected to be a moduli space of curves with increasingly worse singularities.

GIT quotient $H_{\nu,m}//SL_{n+1}(\mathbb{C})$	Moduli space	Singularities
(2,6)	$\overline{M_g}(2/3)$	A ₁ , A ₂
(2,4.5)	$\overline{M_g}(19/29)$	A_1, \dots, A_4, A_5'
(2,1.25)	$\overline{M_g}(17/28)$	<i>A</i> ₁ ,, <i>A</i> ₅
(2,27/14)	$\overline{M_g}(49/83)$	<i>A</i> ₁ ,, <i>A</i> ₆
(2,1.5)	$\overline{M_g}(5/9)$	$A_1, \dots, A_6, \\ D_4, D'_5, D_6'$
$(1, \gg 0)$	$\overline{M_g}\left(\!\frac{3g+8}{8g+4}\!-\epsilon\right)$	ADE, X_9 , J_{10} , E_{12} , R ibbons etc.

Fedorchuk-Smyth arXiv 1012.0329

Hilbert points

 $H_{\nu,m} = \{ [C]_m \mid C \text{ nonsingular genus } g \} \subset Gr(s, \mathbb{C}[x_0, \dots, x_n]_m)$

 $H_{\nu,m}//SL_{n+1}(\mathbb{C}) = \text{moduli space of curves of genus } g$

Toward new moduli spaces

Alper-Fedorchuk-Smyth-van der Wyck : GIT free approach

Prediction:
$$\overline{M_g}\left(\frac{2}{3}\right) \simeq H_{\nu,m}//SL_{n+1}(\mathbb{C})$$
, with $(\nu,m) = (2,6)$.

 $C \subset \mathbb{P}^n : \text{defined by an ideal } I \subset \mathbb{C}[x_0, \dots, x_n]$

- Hilbert-Mumford numerical criterion: $[C]_m$ is (semi)stable if and only if
 - \forall choice of coordinates and $\forall r = (r_0, ..., r_n) \in \mathbb{Z}^{n+1}, \sum r_i = 0$,
 - \exists a basis $\{x^{a(1)}, \dots, x^{a(l)}\}$ for $\mathbb{C}[x_0, \dots, x_n]_m / I_m$ such that $\sum r.a(i) < 0$ (resp. ≤ 0)

Finite Hilbert Stability

Finite Hilbert Stability

- Higher cohomologies do NOT vanish for small m. A completely new method should be developed.
- **BIG THEOREM** (Mumford, Gieseker ~1974) A smooth ν -canonical curve of genus $g \ge 2$ has stable *m*th Hilbert point for $\nu \ge 2$ and $m \gg 0$.
- **CONJECTURE** (I. Morrison ~2010) A smooth bicanonical curve of genus $g \ge 3$ has stable *m*th Hilbert point whenever $(g,m) \ne (3,2)$.